

Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)* of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Science, Space and Technology

Subcommittee: Environment

Hearing Date: 06/07/2021

Hearing Title :

Defining a National Oceanshot: Accelerating Ocean and Great Lakes Science and Technology

Witness Name: Robert D. Ballard PhD

Position/Title: President, Ocean Exploration Trust, Inc. Explorer at Large, National Geographic Society

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

Ocean Exploration Trust, Inc.

FOR WITNESSES APPEARING IN A NON-GOVERNMENTAL CAPACITY

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

Are you a fiduciary—including, but not limited to, a director, officer, advisor, or resident agent—of any organization or entity that has an interest in the subject matter of the hearing? If so, please list the name of the organization(s) or entities.

Ocean Exploration Trust, Inc.

Please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the source and amount of each grant or contract.

See Exhibit 1

Please list any contracts, grants, or payments originating with a foreign government and related to the hearing's subject that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the amount and country of origin of each contract or payment.

University of Victoria - Canada
2021 \$1,894,688
2020 \$1,110,971
2018 \$ 1,720,000

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

- I have attached a written statement of proposed testimony.
- I have attached my curriculum vitae or biography.

* Rule XI, clause 2(g)(5), of the U.S. House of Representatives provides:

(5)(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a non-governmental capacity, a written statement of proposed testimony shall include— (i) a curriculum vitae; (ii) a disclosure of any Federal grants or contracts, or contracts, grants, or payments originating with a foreign government, received during the past 36 months by the witness or by an entity represented by the witness and related to the subject matter of the hearing; and (iii) a disclosure of whether the witness is a fiduciary (including, but not limited to, a director, officer, advisor, or resident agent) of any organization or entity that has an interest in the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B)(iii) shall include— (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form 24 hours before the witness appears to the extent practicable, but not later than one day after the witness appears.

False Statements Certification

Knowingly providing material false information to this committee/subcommittee, or knowingly concealing material information from this committee/subcommittee, is a crime (18 U.S.C. § 1001). This form will be made part of the hearing record.



Witness signature

6/4/2021

Date

<u>Name</u>	<u>Award #</u>	<u>Grant Period</u>	Ocean Exploration Trust, Inc. Exhibit 1	<u>Total Award Amount</u>
National Oceanic and Atmospheric Administration (University of Rhode Island Subaward)	FNA170AR0110336	9.1.2017-9.30.2020 extended to 9.30.2021	Telepresence enabled Exploration using the E/V Nautilus & a mobile ROV System	\$31,633,805 (not fully funded)
National Oceanic and Atmospheric Administration (University of Rhode Island Subaward)	NA19OAR4320072	7.1.2020-6.30.2021	Ocean Exploration Cooperative Institute: Discovering the New America Year 2	\$9,839,008
Office of Naval Research	N00014-18-1-2854	8.15.2018-8.14.2021	Using Ocean Exploration to support Naval STEM Outreach and Workforce Development	\$750,000
Office of Naval Research	N00014-19-1-2488	8.1.2019-7.31.2022	Developing & Testing of Optical & Acoustic Imaging Systems on ROVs & AUVs to better understand submerged cave systems	\$1,565,085
Office of National Marine Sanctuaries	NA18NOS4290215	11.1.2018-10.31.2021	Telepresence-enabled Exploration and Outreach in National Marine Sanctuaries	\$5,768,900
National Marine Sanctuaries Foundation	19-12-B-243	1.1.2020-7.30.2022	STEM	\$348,560
National Marine Sanctuaries Foundation	21-0-4-B-316	4.1.2021-12.31.2021	Thunder Bay	\$173,000
NASA (BAER Subcontract)	NASA-NNX16AO96A	10.9.2017-10.15.2020	Theoretical Monitoring of the Solar System	\$202,220
National Oceanic and Atmospheric Administration (WHOI Subaward)	A101358	6.1.2017-5.31.2019	Supporting NOAA's Program with NSF	\$589,000
Office of Naval Research	N00014-16-1-2071	1.1.2016-12.31.2018	Linking Maritime Autonomy and Ocean Exploration	\$1,200,000