

Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)* of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Science, Space, and Technology

Subcommittee: _____

Hearing Date: May 12, 2021

Hearing Title :

COVID-19 Variants and Evolving Research Needs

Witness Name: Caitlin Rivers

Position/Title: Senior Scholar, Johns Hopkins Center for Health Security

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

FOR WITNESSES APPEARING IN A NON-GOVERNMENTAL CAPACITY

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

Are you a fiduciary—including, but not limited to, a director, officer, advisor, or resident agent—of any organization or entity that has an interest in the subject matter of the hearing? If so, please list the name of the organization(s) or entities.

See attached

Please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the source and amount of each grant or contract.

See attached

Please list any contracts, grants, or payments originating with a foreign government and related to the hearing's subject that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the amount and country of origin of each contract or payment.

See attached

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

- I have attached a written statement of proposed testimony.
- I have attached my curriculum vitae or biography.

* Rule XI, clause 2(g)(5), of the U.S. House of Representatives provides:

(5)(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a non-governmental capacity, a written statement of proposed testimony shall include— (i) a curriculum vitae; (ii) a disclosure of any Federal grants or contracts, or contracts, grants, or payments originating with a foreign government, received during the past 36 months by the witness or by an entity represented by the witness and related to the subject matter of the hearing; and (iii) a disclosure of whether the witness is a fiduciary (including, but not limited to, a director, officer, advisor, or resident agent) of any organization or entity that has an interest in the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B)(iii) shall include— (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form 24 hours before the witness appears to the extent practicable, but not later than one day after the witness appears.

False Statements Certification

Knowingly providing material false information to this committee/subcommittee, or knowingly concealing material information from this committee/subcommittee, is a crime (18 U.S.C. § 1001). This form will be made part of the hearing record.



Witness signature

May 9, 2021

Date

Attachment to Truth in Testimony Disclosure Form for Caitlin Rivers

Federal awards to Johns Hopkins Center for Health Security

Funder: National Science Foundation

Project: Workshop on Emerging Technologies for Integrated Surveillance and Diagnosis of Infectious Disease and Bio-Security Threats

Role: Principal Investigator

Main Objective: [On hold due to COVID-19] To hold a workshop to identify opportunities for emerging technologies to integrate surveillance and diagnosis of infectious disease threats.

Funding Level: \$49,990

Funder: University of Massachusetts; Prime sponsor - Centers for Disease Control

Project: Influenza Forecasting Center of Excellence at University of Massachusetts Amherst

Role: Co-investigator; PI of the subcontract

Main Objective: The Influenza Forecasting Center of Excellence at University of Massachusetts Amherst will produce models of influenza to support public health decision making. Highlights include developing and validating novel ensemble methodologies, incorporating data from multiple spatial scales and from real-time point-of-care data sources into stand-alone models, and conducting stakeholder interviews and usability studies designed to provide data on how to improve forecast targets and data visualization to maximize their public health impact.

Funding Level: \$198,426

Other

I own a one third membership interest in Crown & Company, LLC, (the "Company") a Maryland limited liability company formed in 2020 to provide consultation, expert analysis and advice related to COVID-19. While neither I nor the Company have any federal grants or contracts that have been awarded to the Company, the Company has agreements with third party entities and organizations that could potentially receive federal grants or be a contractor or subcontractor under a federal contract. I am unaware, however, of any such grants or contracts. Further, while neither I nor the Company have any contracts or payments originating with a foreign government related to the subject matter of the hearing, the Company has agreements with third party entities and organizations that could potentially be parties to a contract with, or receive payments from, a foreign government related to the subject matter of the hearing. I am unaware, however, of any such contracts or payments.