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October 31, 2016

By First Class Mail and Email (mark.marin@mail.house.gov)

The Honorable Lamar Smith
Chairman
House Committee on Science, Space, and Technology
2321 Rayburn House Office Building
Washington, DC 20515

Re: July 13, 2016 Subpoena to the Rockefeller Family Fund

Dear Chairman Smith:

We represent the Rockefeller Family Fund (“RFF”) in connection with a July 13, 2016 subpoena issued by the Committee on Science, Space, and Technology (the “Committee”) to Mr. Lee Wasserman, Director, RFF (the “Subpoena”). This is to follow up on our previous communications regarding the Subpoena, the substance of which is incorporated herein by reference.¹

As outlined in my letter of October 27, 2016, RFF agreed to produce two categories of information to the Committee.² Information responsive to those two categories is included below:

¹ The Committee previously received correspondence on RFF’s behalf dated June 1, 2016; June 24, 2016; July 13, 2016; July 27, 2016; September 27, 2016; and October 27, 2016. RFF maintains, and expressly reserves its rights to assert any and all appropriate objections to the Subpoena, including but not limited to the objections raised in that earlier correspondence.

² RFF also agreed to consider requests from the Committee for other specific categories of information, other than communications, that may be responsive to its inquiry (*i.e.*, policy-type documents like those discussed in the *Ferrer* case).

Category 1: *Documents sufficient to show the dates, amounts, and general purposes of grants from RFF to any of the entities named in the Subpoena during the time period stated in the Subpoena.*

Enclosed as Attachment A is a description of the dates, amounts, and general purposes of the referenced grants. The document bears the bates numbers RFF_SST_0001 through RFF_SST_0002.

Category 2: *Documents sufficient to show the dates of any meetings between representatives of RFF and representatives of any state attorney general's office related to the issue of climate change, and dates on which any of the other organizations identified in the Subpoena may have used RFF's offices to meet with representatives of any state attorney general's office regarding climate change.*

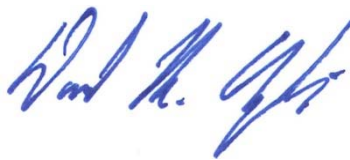
Enclosed as Attachment B is a document identifying the dates on which representatives of RFF met with representatives of an attorney general's office related to the issue of climate change. The document bears the bates number RFF_SST_0003. To the best of RFF's knowledge, no meetings were held in the offices of RFF between representatives of any attorney general's office and representatives of any of the other organizations identified in the Subpoena, related to the issue of climate change.

We have marked the enclosed documents "Confidential Treatment Requested" and ask that the Committee keep the enclosed materials confidential.

It is our understanding that the Committee does not presently intend to seek any additional information from RFF, and we hope that, through this production, the Committee will deem RFF to have complied fully with the Subpoena.

Thank you for working with us to reach an amicable resolution of this matter.

Sincerely yours,



David H. Angeli

Enclosures

cc: The Hon. Eddie Bernice Johnson
Ranking Member, Committee on Science, Space, and Technology
2468 Rayburn Office Building
Washington, DC 20515

Mr. John Piazza (by email at john.piazza@mail.house.gov)